

# Measurement Approaches to Develop a Fundamental Understanding of Scratch and Mar Resistance

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*Instrumented indentation and confocal microscopy were used to characterize the surface mechanical response of polymeric materials. Viscoelastic behavior was measured using instrumented indentation. A model based on the contact between a rigid probe and a viscoelastic material was used to calculate values for the creep compliance and stress relaxation modulus for two polymeric materials, epoxy and poly(methyl methacrylate) or PMMA. Scratch testing was performed on these materials with various probes under a variety of conditions, and confocal microscopy was used to characterize the resulting deformation. Relationships among viscoelastic behavior, scratch damage, and appearance are currently being explored using these methods along with finite element modeling.*

**Keywords:** Hardness, scratch resistance, rheology, confocal microscopy, instrumented indentation, appearance, mechanical properties, epoxy, and PMMA

Scratch and mar resistance is an important characteristic for polymeric materials and coatings in a wide variety of applications. Many surface mechanical test methods, some standardized through groups such as ASTM International, some standardized within a particular company, and others that are ad hoc standards, have been used with limited success and primarily for qualitative comparisons and quality assessment purposes. Field simulation tests have been developed based on service conditions that can cause scratching and marring of a polymer that generally involve either wet abrasion using abrasive slurries or dry abrasion using abrasive powders or papers.<sup>1-5</sup> All of these tests produce relative measures of scratch resistance, usually based on mass loss, visual inspection, gloss changes, or changes in gray scale level or  $\Delta L$ , often with poor repeatability and/or reproducibility. To produce measurable changes in such metrics, the severity of the test conditions in terms of applied force or the duration of the test, for example, can be so drastic as to induce abnormal damage mechanisms thus producing misleading results.<sup>6</sup>

Recent efforts have been aimed at measuring quantitative material properties and understanding the relationships between surface properties and performance charac-

teristics. In most of these studies, single-probe testing devices,<sup>3-22</sup> including instrumented indentation and scratch systems<sup>4-6,17-19</sup> and atomic force microscopes,<sup>3,20-22</sup> have been used to simulate single asperity contact, as opposed to multi-asperity contact associated with the field simulation tests. Single-probe scratch testing can be useful for characterizing the time- and strain-dependent behavior of a polymeric material under a number of contact conditions.<sup>9</sup> However, an inappropriate choice of tip geometry and loading conditions can still produce damage that is much more severe than in-service damage.<sup>4,23</sup>

Scratch and mar resistance is most often characterized using appearance metrics. Currently, however, relationships between appearance attributes and surface deformation associated with scratching and marring are ambiguous. This lack of connectivity is one of the major barriers to the development and acceptance of a unified standard or set of standards for determining scratch and mar resistance. Another major barrier is the specification of testing conditions. Test variables, such as tip geometry, scratch speed, and applied load differ from one study to another, sometimes by orders of magnitude. These large variations are even associated with studies in which relatively simi-

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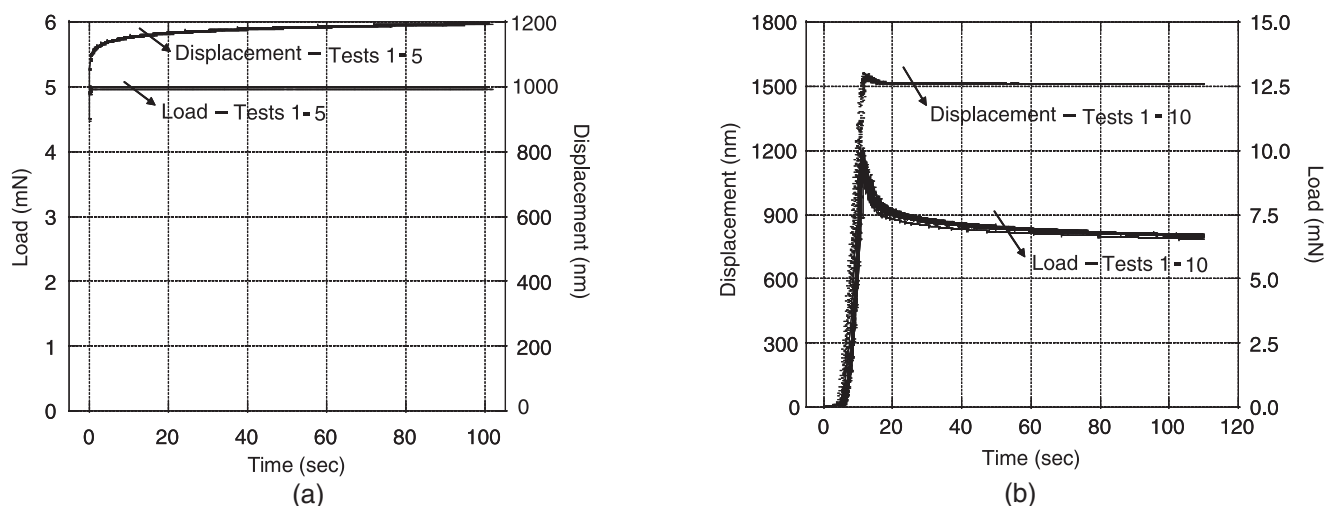


Figure 1—Plots of load,  $P$ , and displacement,  $h$ , as a function of time for indentation tests in which (a) creep and (b) stress relaxation behavior are measured. Data are shown for experiments on epoxy using a Berkovich pyramidal tip and the DCM system.

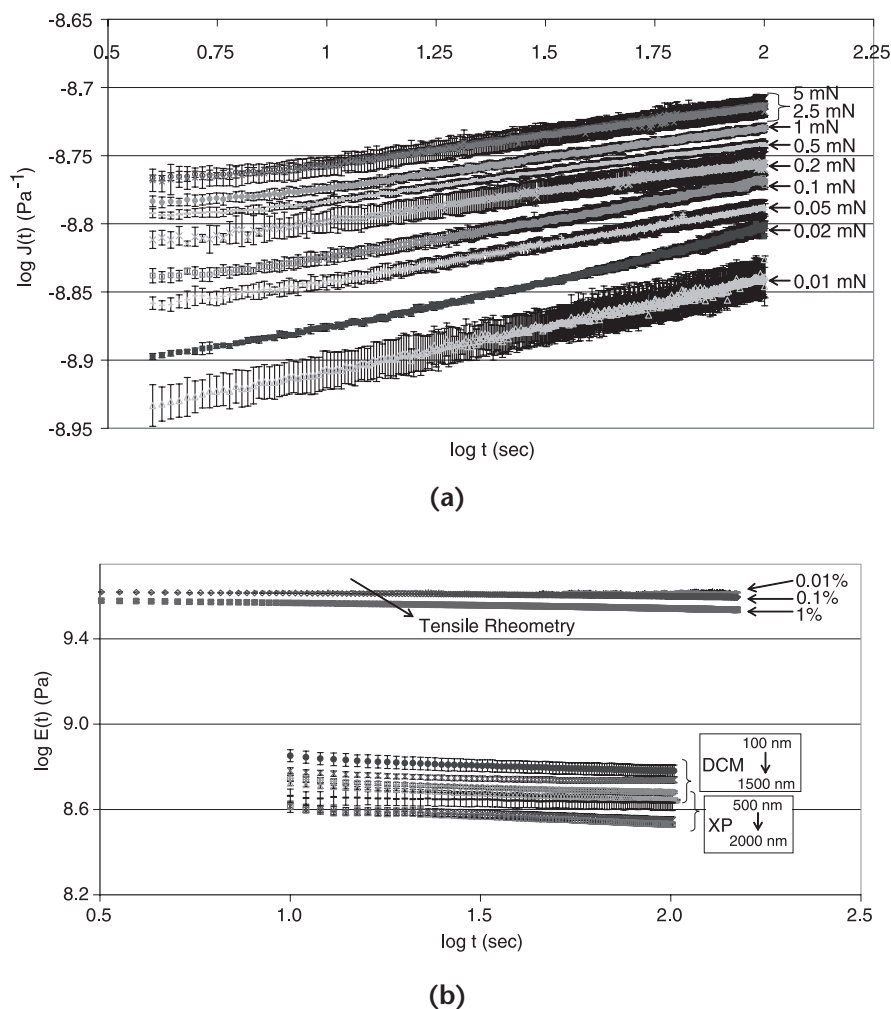


Figure 2—Log-log plots of (a) creep compliance,  $J(t)$ , and (b) relaxation modulus,  $E(t)$ , as a function of time,  $t$ , for indentation experiments on epoxy using Berkovich tips. In (a), data is shown for the DCM system and in (b), data is shown for both the XP and DCM systems along with data for a tensile rheometer. For the indentation results, each data point represents an average value from 10 experiments, and for the rheometry results, each data point represents an average of three experiments. Error bars represent an estimated standard deviation ( $k = 1$ ).

lar single-probe devices have been used. Because scratch and mar behavior is related to both the surface properties of the material and the loading conditions, laboratory testing should provide an understanding of how the material will perform under a wide variety of conditions. In particular, effects of temperature, strain, and strain rate, which are related to the material properties, the probe geometry, the loading rate, and the scratch speed, should be thoroughly investigated. To date, only Briscoe et al.,<sup>8-12</sup> and more recently Sue and co-workers<sup>16,17</sup> and Gauthier et al.,<sup>24,25</sup> have studied the effects of different test variables on the scratch behavior of polymers. Also, very little modeling has been performed to understand how changes in testing conditions affect the local stress and strain fields.<sup>26,27</sup> Because of these deficiencies, relationships between polymer properties and scratch and mar resistance are not well understood.

In this article, an instrumented indentation and scratch system is used along with confocal microscopy to characterize the surface mechanical response of polymeric materials. Indentation versions of creep and stress relaxation tests are first used to study the viscoelastic behavior of two polymeric materials, epoxy and poly(methyl methacrylate) or PMMA. A model based on contact between a rigid probe and a viscoelastic material was used to calculate values for the creep compliance and stress relaxation modulus as functions of time and loading conditions. Scratch testing was then performed with the indentation and scratch instrument on these materials with various probes under a variety of conditions, and confocal microscopy was used to characterize the resulting deformation. Relationships among viscoelastic behavior, scratch damage, and appearance are currently being explored using these methods along with finite element modeling that incorporates the constitutive behavior measured using the instrumented indentation results.

## EXPERIMENTAL METHODS AND MATERIALS\*

### Materials

Materials used in this study included an amine-cured epoxy and PMMA. Epoxy films approximately 190  $\mu\text{m}$  in thickness were

cast onto silicon wafers in a  $\text{CO}_2$ -free and  $\text{H}_2\text{O}$ -free glove box using a drawdown technique. Highly pure diglycidyl ether of bisphenol A with a mass per epoxy equivalent of 172 g and 1,3-bis(aminomethyl)-cyclohexane were mixed at the stoichiometric ratio. All epoxy samples were cured at room temperature for 48 hr, followed by post-curing at 130°C for two hours. The films were then removed from the silicon substrates by immersion in warm water followed by peeling with tweezers. The glass transition temperature,  $T_g$ , of the cured films was  $123 \pm 2^\circ\text{C}$ , as estimated using dynamic mechanical analysis. PMMA film samples with approximate thickness of 3.8 mm were provided directly from a commercial source. Fused silica, supplied by MTS Systems Inc., was used as a reference material (nominal elastic modulus,  $E = 72 \text{ GPa}$ ) for tip shape determination via indentation.

### Instrumented Indentation

Instrumented indentation was performed using a NanoIndenter XP and a NanoIndenter DCM (MTS Systems, Inc.). Forces applied using the XP system, in general, ranged from 0.2–100 mN, while those applied using the DCM system ranged from 0.01–10 mN. For measurements

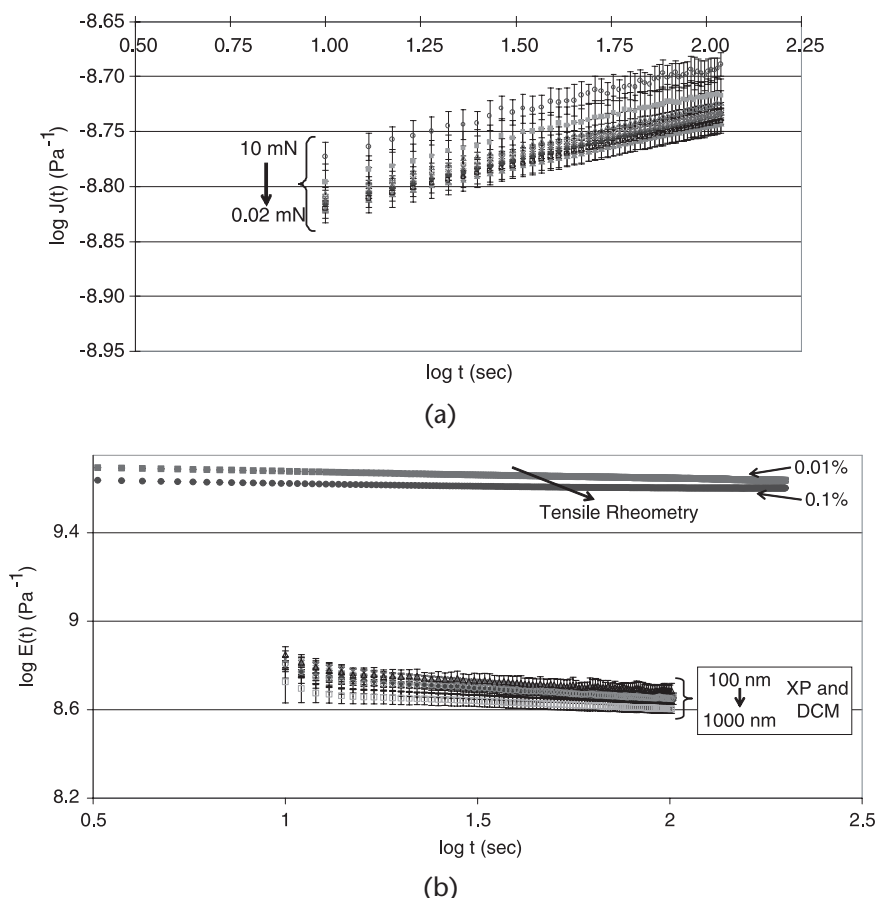


Figure 3—Log-log plots of (a) creep compliance,  $J(t)$ , and (b) relaxation modulus,  $E(t)$ , as a function of time,  $t$ , for indentation experiments on PMMA using Berkovich tips. In (a), data is shown for the DCM system and in (b), data is shown for both the XP and DCM systems along with data for a tensile rheometer. For the indentation results, each data point represents an average value from 10 experiments, and for the rheometry results, each data point represents an average of three experiments. Error bars represent an estimated standard deviation ( $k = 1$ ).

\*Certain commercial instruments and materials are identified in this article to adequately describe the experimental procedure. In no case does such identification imply recommendation or endorsement by the National Institute of Standards and Technology, nor does it imply that the instruments or materials are necessarily the best available for the purpose.

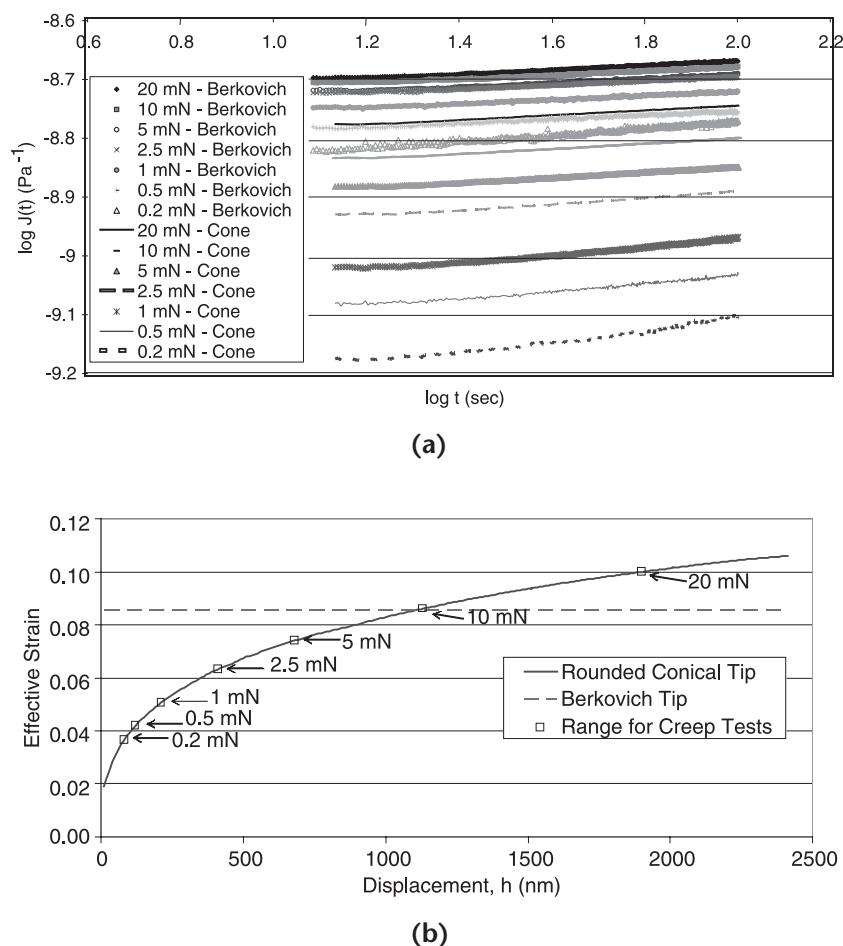


Figure 4—Log-log plot of creep compliance,  $J(t)$ , as a function of time,  $t$ , comparing the indentation creep data for epoxy taken with a rounded conical tip (10  $\mu\text{m}$  tip radius,  $45^\circ$  semi-apical angle) and a Berkovich tip. Error bars not shown for clarity.

made with the XP system, several different probe tip shapes were used, including a Berkovich pyramid, and two rounded cones with semi-apical angles of  $45^\circ$  and tip radii of 1.5 and 10  $\mu\text{m}$ , respectively (tip angles and radii are nominal values provided by the manufacturer). Only a Berkovich tip was available for testing with the DCM system. Tip shape has been measured for these probes using indentation of fused silica and by directly imaging the probes with an atomic force microscope (AFM), as detailed elsewhere.<sup>28</sup> Indentation creep response was measured using step loading to a prescribed force,  $P_0$ , which was then held for 100 sec. Indentation relaxation response was measured using a step displacement to a depth,  $h_0$ , related to a prescribed load. This depth was then held for 100 sec. These test methods are detailed elsewhere.<sup>29</sup>

### Scratch Testing

Scratch testing was performed using the NanoIndenter XP described previously. Several different probe tip shapes were used, including the two rounded conical probes described earlier. Results presented in the following section are for the 1.5  $\mu\text{m}$  radius cone and a similar 1.0  $\mu\text{m}$  radius cone (also with a  $45^\circ$  semi-apical angle). Testing parameters used included scratch lengths of 250  $\mu\text{m}$  and 1 mm

and scratch velocities of 2, 10, 50, and 250  $\mu\text{m}/\text{sec}$ . Initially, a progressive-force scratch method was used in which the applied force was increased linearly with scratch distance from a very low force (nominally 20  $\mu\text{N}$ ) at the beginning of the scratch to approximately 100 mN at the end of the scratch. These tests were followed by constant-force scratch testing at force levels ranging from 2–40 mN, depending on the sample and the force levels at which changes in deformation behavior were observed during the progressive-force tests. Regardless of the type of test, a profile of the surface was first performed using a small contact force of 20  $\mu\text{N}$ , followed by the scratch test and then by a post scratch profile again using a 20  $\mu\text{N}$  contact force; both profiles and the scratch test were performed along the same path.

### Optical Characterization

Optical characterization using a Zeiss model LSM510 reflection laser scanning confocal microscope (LSCM) was employed to characterize the surface morphology of scratches produced using the NanoIndenter XP. The LSCM utilizes coherent light and collects light exclusively from a single plane (a pinhole sits conjugated to the focal plane) and rejects light out of the focal plane. The wavelength, numerical aperture (N.A.) of the objective, and the size of the pinhole dictate the resolution in the thickness or axial direction.<sup>30</sup> By moving the focal plane, single images (optical slices) can be combined to build up a three-dimensional stack of images that can be digitally processed. In this article, all LSCM images are presented as two-dimensional intensity projections resulting from a series of overlapping optical slices (a stack of z-scan images) with a z-step of 0.1  $\mu\text{m}$ . The laser wavelength used was 543 nm.

## RESULTS AND DISCUSSION

### Instrumented Indentation

The rheological behavior of viscoelastic materials, such as polymers, is typically characterized as a function of various test conditions, including the levels of stress and strain, the strain rate, and the temperature. Indentation measurements, however, are based on force and displacement rather than stress and strain, such that comparisons with traditional mechanical and rheological measurements are difficult. Additionally, properties are



determined from instrumented indentation using analyses and loading histories developed for elastic and elastoplastic materials, i.e., time dependence is neglected. To better assess the indentation response of polymeric materials, a mathematical analysis of quasi-static contact between a rigid axisymmetric indenter and a linear viscoelastic solid was used.<sup>31</sup> Values of creep compliance,  $J(t)$ , and stress relaxation modulus,  $G(t)$ , in shear were estimated using relatively simple loading histories. For indentation creep, a force  $P_0$  was applied at time  $t = 0$  and held constant, and  $J(t)$  was calculated from measurements of  $P_0$  and variables related to the contact geometry, such as contact area,  $A(t)$ , and penetration depth,  $h(t)$ . Examples of such relationships are given by equations (1) and (2) for a paraboloidal (spherical) indentation tip of radius  $R$  and for an ideal by conical (pyramidal) tip of semi-apical angle  $\theta$ , respectively:

$$J(t) = \frac{8h(t)\sqrt{A(t)}/\pi}{3P_0} \quad (1)$$

$$J(t) = \frac{A(t)\tan\theta}{P_0} \quad (2)$$

Similarly, an analogue to stress relaxation was achieved by applying a displacement  $h_0$  at  $t = 0$  and holding it constant, yielding values of relaxation modulus,  $G(t)$ , determined from measured values of  $h_0$ , the corresponding contact area,  $A_0$ , and the force,  $P(t)$ . Examples of these relationships are given by equations (3) and (4) for a paraboloidal (spherical) indentation tip of radius  $R$  and for an ideal by conical (pyramidal) tip of semi-apical angle  $\theta$ , respectively:

$$G(t) = \frac{3P(t)}{8h_0\sqrt{A_0}/\pi} \quad (3)$$

$$G(t) = \frac{P(t)}{A_0 \tan\theta} \quad (4)$$

The extensional relaxation modulus,  $E(t)$ , was calculated from  $G(t)$  using the following approximation for homogeneous, isotropic, elastic materials, and assuming that Poisson's ratio,  $\nu$ , is independent of time:

$$E = 2G(1 + \nu) \quad (5)$$

Examples of force and displacement histories for these types of tests are shown in Figure 1, and the resulting values of  $J(t)$  and  $E(t)$  are shown for the epoxy and PMMA materials in Figures 2 and 3, respectively. Indentation data in each of these figures were generated using Berkovich pyramidal tip geometry. Values of  $E(t)$  measured using a tensile rheometer (see reference 29 for more details) are also shown in Figures 2 and 3 for comparative purposes. Qualitatively, the indentation data appears to be consistent with the behavior expected of epoxy and PMMA polymers, which are glassy at room temperature—compliance values are on the order of  $10^{-9}$  Pa and trend higher with increasing time and increasing force, while relaxation modulus values are on the order of  $10^9$  Pa and trend

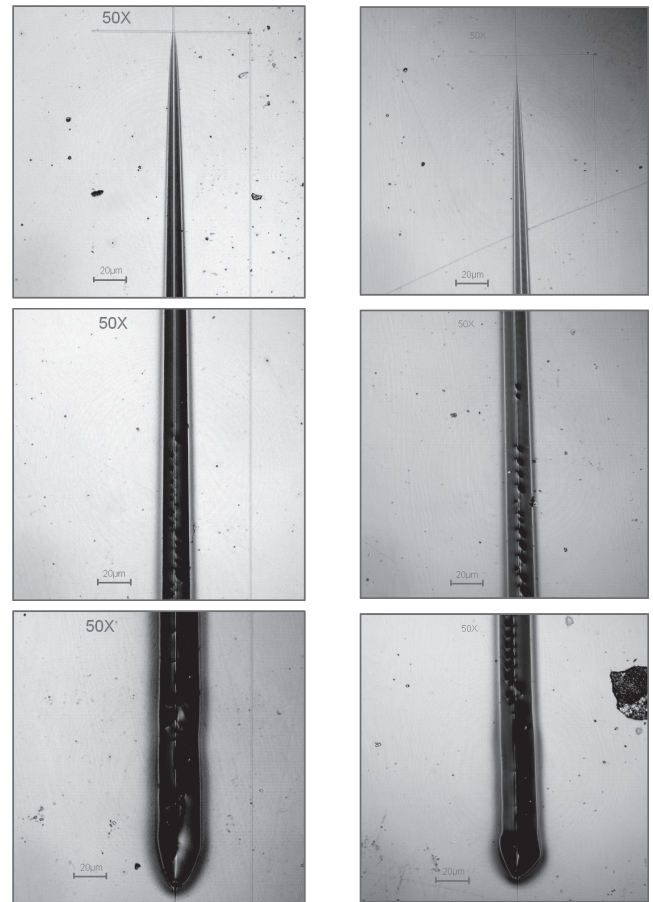


Figure 5—LSCM images in which the damage created by scratching an epoxy sample with a rounded conical tip of radius  $1.5\ \mu\text{m}$  using progressive-force tests is captured. In these tests, the force was ramped from  $20\ \mu\text{N}$  to  $100\ \text{mN}$  at velocities of  $2\ \mu\text{m}/\text{sec}$  (left) and  $250\ \mu\text{m}/\text{sec}$  (right) over a scratch distance of  $1\ \text{mm}$ . Three LSCM images are shown for each velocity, capturing the beginning, middle, and ending portions of the progressive-force tests.

lower with increasing time and increasing displacement. However, in all cases, values of  $J(t)$  and  $E(t)$  were dependent upon the level of stress (force) or strain (displacement). From the tensile rheometry data, values of  $E(t)$  measured for epoxy were similar for strain levels of  $0.01\%$  and  $0.1\%$ . The application of a  $1\%$  strain, however, resulted in significantly lower relaxation modulus values. For PMMA, differences in  $E(t)$  were observed between strain levels of  $0.01\%$  and  $0.1\%$ , where the increase in strain again resulted in lower values of  $E(t)$ . Similar behavior was observed in the indentation results, although in some cases, the data scatter obscured the trends. In general, increases in the displacement applied in an indentation relaxation experiment resulted in lower relaxation modulus values. Also, increases in the constant load applied in an indentation creep experiment resulted in higher values of creep compliance. Keeping in mind the uncertainties related to tip shape at low loads and displacements, the slope or curvature of the  $J(t)$  and  $E(t)$  double logarithmic data appears to remain relatively constant with increasing force or displacement for a given set of tests, suggesting separability of the time-dependent behavior from the stress- or strain-dependent behavior. The

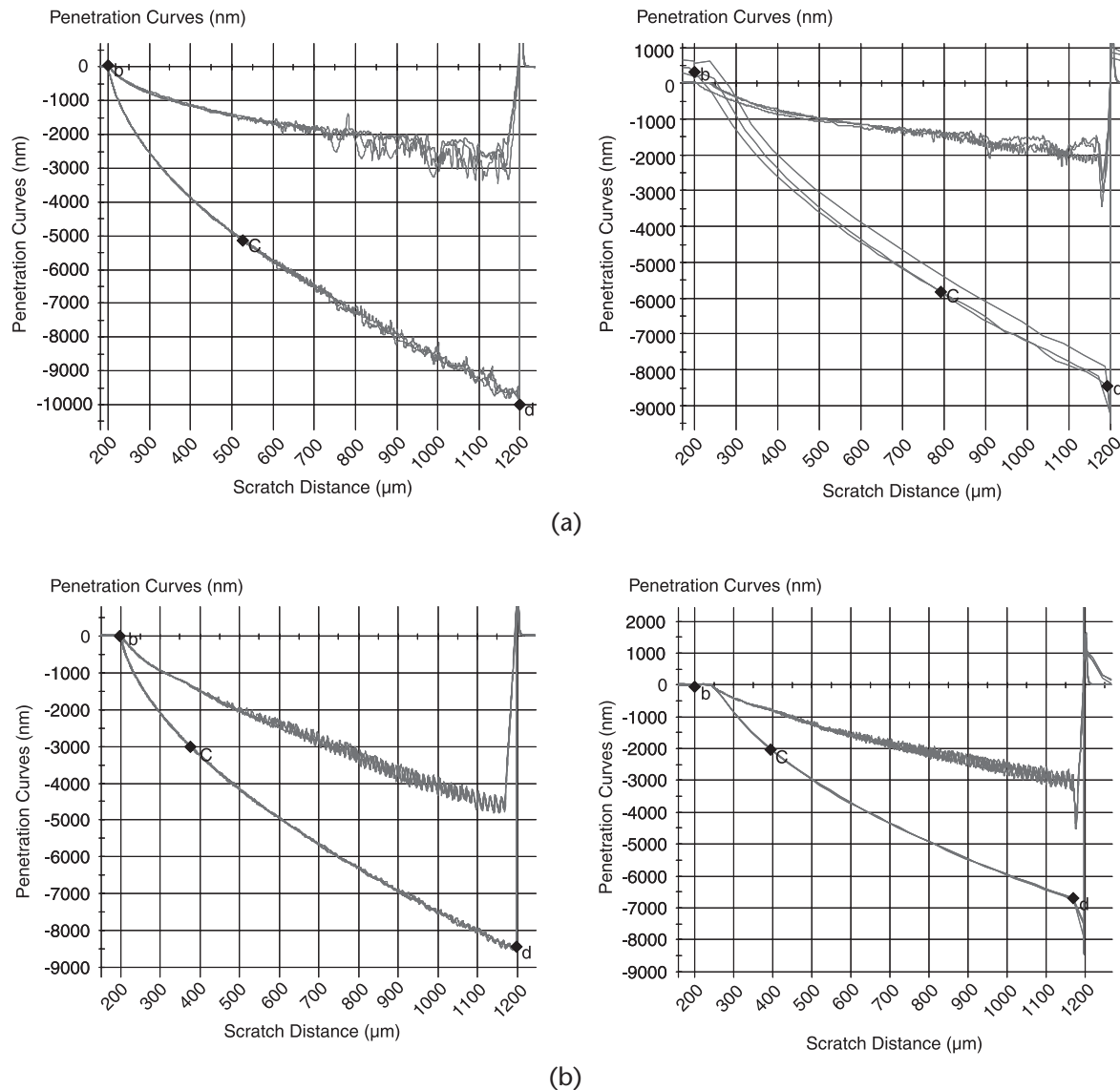


Figure 6—(a) Plots of penetration data generated by the indentation instrument during the progressive-force scratch tests described in Figure 5 for epoxy, where the plots on the left and right correspond to the scratches on the left and right in Figure 5, respectively; (b) plots of penetration depth data for PMMA under the same testing conditions. In each of these plots, the lower sets of curves represent the penetration depth during the scratch, whereas the upper sets of curves represent the unrecovered depth remaining just after scratching.

large differences between values of  $E(t)$  for indentation compared to tensile rheometry could be an indication of the strain dependence coupled with the large strains expected local to the Berkovich tip relative to the strains applied by the rheometer. Also, the behavior under a multi-axial state of strain could be significantly different compared to behavior under uniaxial strain.

To estimate the stresses and strains applied in an indentation measurement, a number of relationships have been suggested. Indentation hardness,  $H$ , is also the mean stress or pressure in an indentation experiment, i.e., it is the ratio of force,  $P$ , to contact area,  $A$ , where  $A$  is in general related to displacement,  $h$ , by the tip geometry. For a spherical or paraboloidal tip, indentation strain,  $\bar{\epsilon}$ , is related to the ratio of the contact radius,  $r$ , to the tip radius,  $R$ , where  $r$  is a function of  $h$ .<sup>32,33</sup> For conical or pyramidal tip geometry, a nominal indentation strain is related to

the characteristic included angle,  $\theta$ , of the tip. Analyses by Tabor<sup>34</sup> that were empirically based and in which ideal plastic behavior was assumed can be used to generate the following two equations for  $\bar{\epsilon}$  for ideal paraboloidal and Berkovich tip geometries, respectively:

$$\bar{\epsilon} = 0.2r/R \quad (6)$$

$$\bar{\epsilon} = 0.25 \cot \theta \quad (7)$$

For example, Tabor estimated the representative strain for a Berkovich tip to be between 8 and 10%, and equation (7) yields a value of 8.9% for an ideal Berkovich tip ( $\theta = 70.32^\circ$ ). Note that relationships similar to equations (2) and (4) were recently derived for pyramidal indenters<sup>33</sup> directly from Hook's law by assuming  $P/A$  as a representative

stress and  $(\cot \theta) \cdot (dh/h)$  as a representative strain increment. For any tip geometry, the indentation strain rate can be calculated from the ratio  $\dot{h}/h$ , where  $\dot{h}$  is the rate of change of  $h$  with time,  $t$ , or  $\dot{h} = dh/dt$ .

In Figure 4a, values of  $J(t)$  are shown for epoxy indented with the XP system using a rounded conical tip with a 10- $\mu\text{m}$  tip radius and a Berkovich tip. In Figure 4b, effective strains, calculated using equations (6) and (7) for these rounded conical and Berkovich tip shapes, respectively, are plotted as a function of displacement. Tip shape analysis, described in detail in reference 28, was used to determine an effective conical angle,  $\theta$ , for the Berkovich tip of approximately  $71.1^\circ$  and an effective radius function,  $R_{\text{eff}}$ , for the rounded conical tip, which were then used in the calculation of effective strain. The effective strain and the corresponding creep compliance values are lower for the rounded conical tip compared to the Berkovich tip for all tests except the two highest loads, 10 and 20 mN. At these two loads, the effective strain levels and the creep compliance values for the rounded conical tip are similar to those for the Berkovich tip, i.e., in the range of 8–10%. Also, the larger variation in creep compliance for the rounded conical tip with load appears to reflect the larger expected changes in the associated effective strain compared to the Berkovich tip, for which a smaller variation in creep compliance with load was observed, possibly in response to a small variation in effective strain related to deviations in the actual tip geometry from the ideal case plotted in Figure 4b. Regarding the stress relaxation modulus data (see Figures 2a and 3a), nonlinear viscoelastic analysis in which time-temperature-strain superposition is assumed could be used to vertically shift the data as a function of strain level. The large amount of vertical shifting necessary would then indicate much larger effective strains in the indentation measurements relative to the rheology measurements. Because the relationships between indentation parameters and stress and strain, such as equations (6) and (7), are based on elastic or elastic-plastic behavior, further investigations are needed to quantify the effective stress and strain levels as a function of indentation tip geometry for viscoelastic materials.

### Characterization of Scratch Behavior

From the results of the indentation testing, the behavior of the polymers under “single asperity” contact loading appears to be characteristic of nonlinear viscoelasticity. Because of the intense stresses and strains local to the tip-sample contact during a scratch event, characterizing nonlinear mechanical behavior of polymeric materials is important for relating material properties to scratch and mar resistance. The use of properties measured under linear viscoelastic conditions could be quite different compared to those measured un-

der nonlinear viscoelastic conditions, thus resulting in poor predictive capabilities. Thus, instrumented indentation provides a means for measuring nonlinear behavior under similar conditions of stress and strain as those associated with scratch and mar conditions. Additionally, recent developments allow for indentation testing under conditions of dynamic oscillation, such that coupling quasi-static tests, such as the creep and relaxation tests, with the dynamic tests can be used to characterize polymer response over a large range of time/frequency.<sup>35</sup>

In Figure 5, two sets of LSCM images are shown which capture the damage created by scratching an epoxy sample with the rounded conical tip of radius 1.5  $\mu\text{m}$  using a progressive-force test, in which the force was ramped from 20  $\mu\text{N}$  to 100 mN at velocities of 2  $\mu\text{m}/\text{sec}$  (left) and 250  $\mu\text{m}/\text{sec}$  (right). The scratch distance was 1 mm, so that the scratch on the left was created over a testing time of approximately 500 sec while the scratch on the right was created over a testing time of approximately 4 sec. Thus, the depth of the scratch on the right would be expected to be smaller because less creep-related deformation would occur compared to the scratch on the left. Indeed, the scratch width appears to be smaller for the scratch on the left, and the penetration data generated by the instrument, shown in Figure 6a, confirms the difference in depth, where the plots on the left and right correspond to the scratches on the left and right in Figure 5, respectively. In Figure 6b, similar penetration depth data is shown for PMMA under the same testing conditions. In each of the plots in Figure 6, the lower sets of curves represent the penetration depth during the scratch, whereas the upper sets of curves represent the unrecovered depth remaining just after scratching.

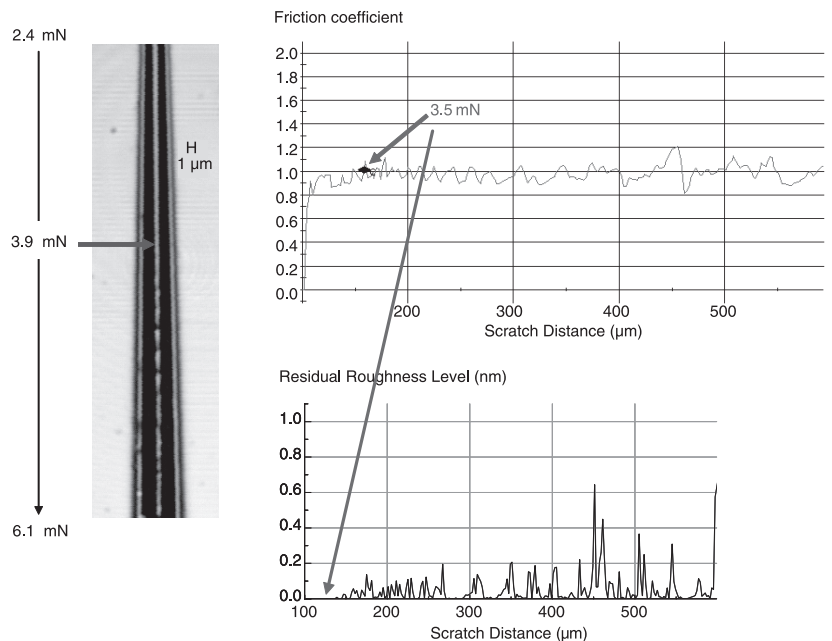


Figure 7—An LSCM image and corresponding plots of residual roughness and friction coefficient as a function of scratch distance for a progressive-force test on PMMA using a scratch velocity of 10  $\mu\text{m}/\text{sec}$ . A determination of critical force values can be made from either the LSCM image or from the residual roughness level, where evidence of cracking in the LSCM image or increased scatter in the residual roughness data corresponds to a particular scratch distance, force level, and depth.

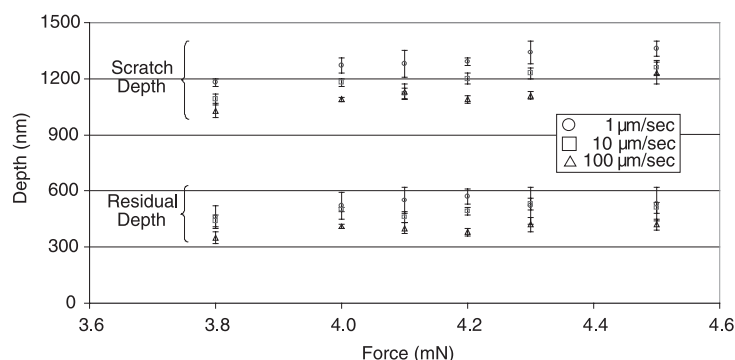


Figure 8—Plot of scratch depth and residual depth as a function of normal force and scratch velocity for constant-force scratch tests. Each data point represents an average value from a minimum of three experiments, and error bars represent an estimated standard deviation ( $k = 1$ ).

For both materials, the difference in penetration depth during scratching for the two scratch velocities is approximately 1.0–1.5  $\mu\text{m}$ . For the epoxy, this difference in depth increases slightly with increasing scratch distance, but the majority of this difference is evident within the first 100  $\mu\text{m}$  of the scratch. For the PMMA, while the depth difference is appreciable ( $\sim 1.0 \mu\text{m}$ ) at a scratch distance of 100  $\mu\text{m}$ , this difference increases significantly with scratch distance. This result correlates with the indentation creep data (see *Figures 2a* and *3a*), as the PMMA creep compliance shows more time dependence (i.e., increases more appreciably with time) compared to the epoxy. An increase in compliance is equivalent to a decrease in stiffness, and thus the PMMA exhibits decreasing stiffness under contact loads with time, allowing for more time-dependent penetration compared to epoxy. For glassy polymers, such as epoxy and PMMA, this time-dependent deformation under contact loading for both indentation and scratch testing is largely plastic or viscoplastic, such that it does not recover, at least in the time frames of typical experimental observations. Comparing *Figure 6a* with *Figure 6b*, although the total penetration depth during scratching is slightly less for PMMA compared to epoxy under the same scratching conditions, the amount of permanent deformation is significantly larger for PMMA regardless of scratch velocity. This observation is consistent with the morphological structure of these two polymers, i.e., the thermoplastic PMMA has a larger propensity to flow plastically compared to the epoxy, for which the crosslinked structure limits plastic flow.

The indentation creep behavior of epoxy was somewhat more dependent upon the applied force compared to PMMA. However, because the force increases with scratch distance for progressive-force scratch tests, effects of force are not discernable from time dependence. Also during a scratch test, the friction force is largely dominated by the plowing term, which is related to the buildup of material in front of the probe, and this friction force is, in turn, related to the tensile stress behind the tip that can act to cause cracking of the material. When cracking occurs, the amount of scatter in the scratch data can increase dramatically, as shown in *Figure 7* for a progressive-force test on PMMA at a rate of 10  $\mu\text{m}/\text{sec}$ . The cracking is evident in the LSCM image and corresponds to a particu-

lar scratch distance, force level, and depth. These observations roughly correspond to values at which increased scatter is observed in the residual roughness along the scratch and a particular value of friction coefficient (ratio of friction force to normal force). However, the convolution of time-dependent and force- or stress-dependent effects renders such observations qualitative.

Additionally, the force level at which cracking occurs in a progressive-force scratch test has often been labeled as a critical force that is characteristic of the material.<sup>18,19</sup> Of course, such a force level is a function of many experimental factors and not a material characteristic.

In fact, material behavior, including fracture and various failure theories, is most often based on stress and/or strain. Thus, a critical force level is not likely to provide a reliable basis upon which to judge a material's scratch resistance, even in a relative sense. For example, in *Figure 7*, the PMMA cracking occurred at a critical force level of between 3.5 and 3.9 mN, depending on whether the critical force is defined based on the roughness data or on the LSCM image. Using the same tip and scratch rate (10  $\mu\text{m}/\text{sec}$ ), no cracking was observed in constant-force scratch tests for forces up to 4.0 mN. The scratch depths related to cracking ranged from 700–800 nm for the progressive-force tests while cracking was observed only at depths greater than 1100 nm in the constant-force tests. The difference in the corresponding friction coefficients (1.0 for the progressive-force and 1.2 for the constant-force tests) appears to be related primarily to the scratch depths. Thus, none of these measures appear to be appropriate characteristics upon which scratch resistance could be based.

In other recent research, the influence of the indenter geometry and the nonreproducibility of the geometry of the conical indenters often used in scratch testing were found to result in a lack of reproducibility of critical force measurements from progressive-force scratch tests.<sup>36</sup> As discussed previously for indentation, a representative measure of strain applied by an indentation tip is directly related to the tip geometry. While the strain related to sliding contact likely differs from that for indentation, the use of strain relationships similar to equations (6) and (7) resulted in good correlation between strain levels at which cracking occurred under progressive-force scratching of PMMA using two conical tips with significantly different geometries.<sup>37</sup> Note that in this study, the critical force values for the two conical tips were inversely correlated, i.e., showed opposing trends, as a function of scratch velocity. Thus, a critical strain measurement is much more likely to be related to material properties than a critical force. However, as discussed, current representations of strain during indentation and scratch testing are not rigorously defined but, rather, are empirically based. Further, for scratch testing, strain (as well as hardness) appears to be related to the contact area, which is a function of the scratch velocity due to the time-dependent recovery of the polymer. For example, at a slower scratch rate,



the polymer has more time to recover and support the rear portion of the tip, while at a faster rate, the polymer does not recover and only the advancing portion of the tip is in contact with the sample.

In Figure 8, a plot of scratch depth and residual depth as a function of normal force and scratch velocity for constant-force scratch tests is shown for PMMA and the rounded conical tip of radius 1.0  $\mu\text{m}$ . The lack of variation in the applied force allows the time-dependant behavior to be explored. In this figure, both the penetration during scratch and the depth of the deformation remaining just after the scratch increased with increasing force and decreasing scratch velocity. Friction coefficient (data not shown) also was larger at slower velocities, but with no discernable dependence on force within this small range. Also, as the force was incrementally increased from 3.8 to 4.1 mN, the damage mechanisms change from nonlinear viscoelastic or viscoplastic behavior to fracture. Further, cracking was observed at a force of 4.0 mN and velocities of 1 and 10  $\mu\text{m}/\text{sec}$ , but not at 100  $\mu\text{m}/\text{sec}$ . Thus, the results of the progressive-force and constant-force scratch tests indicate that the viscoelastic behavior of the polymer influences the stresses and strains through the time-dependent penetration, the build-up of material ahead of the scratch probe, and the recovery of material behind the scratch probe—all of which are important factors that determine the states of stress and strain and the resulting surface and sub-surface damage.

Because scratch and mar resistance is a performance attribute, statistical aspects related to the anticipated set of service conditions must be taken into account. The minimization of energy dissipation during a scratch event dictates how the deformation develops based on the relative energies of potential dissipation processes in the contact area.<sup>9</sup> Thus, the resulting deformation will be related to the local polymer structure and properties and the distributions of forces, rates, temperatures, particle sizes, and other service variables that the material encounters. Thus, determining the scratch and mar resistance of a polymer will require the use of a combined experimental and modeling approach that provides for adequate characterization of the polymer constitutive behavior, capturing the relevant time/rate, stress/strain, and temperature dependences, and realistic approximations of this behavior in a numerical model using nonlinear viscoelastic or viscoelastic-viscoplastic representations (for example, see reference 37). Scratch testing can then be used to verify the model under the limited range of experimental conditions available for a given apparatus. Such verification will require knowledge of the three-dimensional geometry of the tip, such as that produced by imaging the tip using atomic force microscopy,<sup>28</sup> relative to the scratch direction, along with precisely controlled and measured forces and displacements in the normal and sliding directions. The resulting model can be used to design appropriate experiments and predict behavior under sliding contact conditions that produce scratch and mar damage, which can then be related to changes in appearance. This approach is the subject of current research at the National Institute of Standards and Technology.

## SUMMARY AND CONCLUSION

Instrumented indentation was used to measure the viscoelastic behavior of epoxy and poly(methyl methacrylate) or PMMA. Values for creep compliance and stress relaxation modulus were calculated from quasi-static indentation testing using a model of contact between a rigid probe and a viscoelastic material. Scratch testing was performed with the same instrument on the same materials with various indentation tips under a variety of conditions. Normal and lateral forces and displacements were measured continuously, and profiles of the surface after scratching were used along with confocal microscopy to characterize the resulting deformation. The progressive-force scratch test, in which the force is increased linearly with scratch distance, was found to provide only qualitative information regarding the characterization of scratch resistance due to the convolution of time-dependent and force- or stress-dependent effects. The use of a critical force as a material characteristic related to scratch resistance is discouraged based on its dependence upon multiple test variables and material properties. A critical strain value appears to be a promising alternative. However, more rigorous relationships for stress and strain are required for scratch testing, including links among strain, scratch velocity, and time-dependent recovery of the polymer. Relationships among viscoelastic behavior, scratch damage, and appearance are currently being explored using experimental and modeling methods.

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